

Workshop Title: Responsible Conduct of Research (RCR) – the Foreign View

Workshop Overview - The US Federal government has increasingly placed an emphasis on the training of research administrators in the Responsible Conduct of Research (RCR). These requirements dictate the need for new or revised institutional policies and procedures and are being validated through compliance and/or ethics related audits. This session is designed to provide foreign research administrators important information on the requirements surrounding the development of related institutional policies as well as the implementation of subsequent training in the areas of conflicts of interest, misconduct in science, data management, intellectual property, use of human subjects in research, animal welfare, mentoring, peer review, whistleblower guidelines and fraud, waste and abuse. The National Science Foundation (NSF) and the National Institutes of Health (NIH) have specific training requirements and this half-day workshop reviews these requirements and provides guidance on how to become compliant. Institutional officials certify compliance with these regulatory requirements when they submit proposals to the US federal government. This workshop will discuss exactly, “What your signature means”, when you sign as an authorized representative either at the preaward stage (proposal) or the post award stage (financial statement). What exactly are all the “incorporated by reference” certifications and representations that I am attesting to and am I personally liable?

Learning Objectives

- Explain the certifications and representations associated with proposals;
- Provide an overview of the RCR core competencies;
- Provide insight into the development of RCR plans and review training;
- Discuss training delivery methods, criteria and documentation;
- Understand the need to monitor compliance;
- Analyze post approval monitoring systems and validation;
- Highlight regulatory compliance reporting requirements;
- Understand current audits and investigations in regulatory compliance areas;
- Discuss a “View from the Top”, the UMD Research Support Committee approach;
- Listen to real life “lessons learned” from regulatory compliance audits;
- Construct a standard template for NIH Financial Conflict of Interest Disclosures.

Prerequisites: none

Who Should Attend: Departmental Research Administrators; Central Office Research Administrators; Legal Office Administrators; Compliance Officers; Ethics Officers